

**THE HONG KONG INSTITUTE OF CHARTERED SECRETARIES**  
**20th Annual Corporate and Regulatory Update (ACRU) 2019**



Date: 5 June 2019 (Wednesday)

Time: 8.55am – 6.15pm

Venue: Hall 3G, Hong Kong Convention and Exhibition Centre, Wan Chai, Hong Kong

Time	Programme	Speaker(s)
<b>Morning session ECPD = 3.5 hours</b>		
<b>The Hong Kong Institute of Chartered Secretaries (HKICS)</b>		
8.55am – 9am	Welcoming address	<b>Mr David Fu FCIS FCS(PE)</b> <i>President, HKICS</i>
<b>Hong Kong Exchanges and Clearing Limited (HKEX) &amp; Discussions</b>		
9am – 11am	<p>Update on regulation of listed issuers</p> <p>Update on Stock Exchange's Review of Financial Statements Program – Report 2018</p> <p>Enforcement focus</p> <p>Expectations on CG &amp; ESG</p> <p><b>Chair:</b> <b>Mr David Simmonds FCIS FCS</b> <i>Vice-President, HKICS</i></p>	<p><b>Mr Kenneth Chan</b> <i>Senior Vice President, Listing Department, HKEX</i></p> <p><b>Mr Patrick Yu</b> <i>Senior Vice President, Listed Issuer Regulation, Listing Department, HKEX</i></p> <p><b>Mr Steve Ong</b> <i>Head of Accounting Affairs, Listing Department, HKEX</i></p> <p><b>Mr Tom Butlin</b> <i>Head of Enforcement, Listing Department, HKEX</i></p> <p><b>Ms Katherine Ng</b> <i>Head of Policy, Listing Department, HKEX</i></p>
11am – 11.25am	<b>Coffee Break</b>	
<b>Securities and Futures Commission (SFC)</b>		
11.25am – 12.25pm	<p>Disclosure of insider information</p> <p>ESG and climate change-related disclosure</p> <p>Regulatory developments in sustainable investing</p> <p><b>Chair:</b> <b>Ms Gillian Meller FCIS FCS</b> <i>Vice-President, HKICS</i></p>	<p><b>Mr Benjamin Cheuk</b> <i>Director, Corporate Finance Division, SFC</i></p> <p><b>Mr Kenneth Lau</b> <i>Director, Corporate Finance Division, SFC</i></p> <p><b>Ms Linda Yiu</b> <i>Director, Intermediaries Supervision Department, SFC</i></p>

<b>Practitioner Practical Sharing</b>		
12.25pm – 12.55pm	Preventive/responsive approaches to inside information/regulatory investigations  <b>Moderator:</b> <b>Ms Gillian Meller FCIS FCS</b> <i>Vice-President, HKICS</i>	<b>Ms Edith Shih FCIS FCS(PE)</b> <i>International President, ICSA; and Past President, HKICS</i>  <b>Mr Mark Hughes</b> <i>Partner, Slaughter and May</i>
<b>Afternoon session ECPD = 3.5 hours</b>		
<b>The Hong Kong Institute of Chartered Secretaries (HKICS)</b>		
2.10pm – 2.20pm	Presentation of souvenirs to conference sponsors	HKICS Representatives
<b>Companies Registry (CR) &amp; Discussions</b>		
2.20pm – 4.05pm	Keeping of Significant Controllers Register – implementation and common questions  Licensing regime and anti-money laundering and counter-terrorist financing requirements for trust or company service providers  <b>Chair:</b> <b>Mrs Natalia Seng FCIS FCS(PE)</b> <i>Past President and Council member, HKICS</i>	<b>Miss Angelina Mok</b> <i>Deputy Registry Manager (Registration), CR</i>  <b>Ms Christy Yiu</b> <i>Senior Solicitor (Trust and Company Service Providers), CR</i>  <b>Mr Roger Wong</b> <i>Deputy Registry Manager (Trust and Company Service Providers), CR</i>
4.05pm – 4.30pm	<b>Coffee Break</b>	
<b>Mandatory Provident Fund Schemes Authority (MPFA) and Discussions</b>		
4.30pm – 6.15pm	MPF Reform – eMPF  An update on Tax Deductible Voluntary MPF Contributions  <b>Chair:</b> <b>Mr Mohan Datwani FCIS FCS(PE)</b> <i>Senior Director and Head of Technical &amp; Research, HKICS</i>	<b>Mr John Wan</b> <i>General Manager (eMPF), MPFA</i>  <b>Ms Germaine Lee</b> <i>Senior Manager (Policy Development), MPFA</i>
<b>End Total ECPD = 7 hours</b>		

\* HKICS reserves the right to change the programme, listed presenter(s) and time if necessary